

Initial Audit Summary Report

Company Name : **National Intelligence Coordinating Agency**

Address : No. 5 V. Luna Road, Quezon City, 1100 Philippines

Attention : Mr. Bob Lapuz – Executive Director / QMR

Audit Team : Raquel Abutin | Hilario Capili

Standard / Audit Type : ISO 9001:2015 | Second Follow-up Audit

Audit Date : November 24, 2021

Audited Sites/Scope : **Directing, Coordinating, and Integrating All Government Activities Involving National Intelligence in the Production of Intelligence Reports and Estimates**

In the audit, the actual process flow of sample operations was examined in individual areas of the company. In doing so, the auditors reviewed the conformity of the process flows with the requirements of the standard and the descriptions in the management documentation. This audit was performed based on random samples, including interviews and examination of the appropriate documents.

All information gained during the audit will be treated with strict confidentiality by the audit team and the certification body. In view of the sampling approach applied to the audit, weaknesses and nonconformities may still exist which have not been identified during the audit.

<input type="checkbox"/>	The last audit revealed nonconformities which have been demonstrably corrected. The corrections and corrective actions taken in this respect have been verified.
<input type="checkbox"/>	A stage 1 audit was performed, and the organization found ready for certification. Identified weaknesses, if any, have been eliminated and the corrective action associated therewith verified.
<input checked="" type="checkbox"/>	The current audit revealed the following nonconformities: Standard(s): No. of nonconformities ISO 9001:2015 Major: 0 Minor: 0
<input type="checkbox"/>	The major nonconformities (No. x) with individual standard elements require a re-audit to verify the effectiveness of the corrections and corrective actions (probable date:.ddmmyyyy)
<input checked="" type="checkbox"/>	The organization has established and maintains an effective system to ensure compliance with its policy and objectives. The audit team confirms in line with the audit targets that the organization's management system complies with, adequately maintains and implements the requirements of the standard(s).

Recommendation

<input type="checkbox"/>	Award of the new certificates.
<input checked="" type="checkbox"/>	Maintenance of the existing certification.
<input type="checkbox"/>	Inclusion of the changes (see Section 3) in the scope of application of existing certifications
<input type="checkbox"/>	Maintenance or issue of the certificates only after successful completion of a re-audit.

Dates:

Due Date for the next audit

12 December 2022 (-3 months/0 months)

Agreed date for the next audit

October 2022

24 November 2021

Date


Raquel Abutin

Audit Leader / Auditor(s)