

Client	Standard(s)	Certification Number(s)	Audit Type
National Intelligence Coordinating Agency	ISO 9001:2015	CN 01 100 1934904	1st Follow-up Audit

Audit Leader : Eufronio Alonzo
Audit Team : Grace Balobalo, Philura Hipe
Client's representative : Atty. Roberton Lapuz – Executive Director / QMR
Audit Date : 2020 December 11

1 Audit result

Management system effectiveness was verified on site by means of random sampling by an appropriately selected audit team. This applies in particular to the compliance of workflows with standard requirements and the descriptions in management system documentation. The special features of the organization's business activities, the applicable statutory and regulatory requirements and the requirements set forth in other generally applicable documents were also taken into account. This was done by means of a sampling approach, by conducting interviews and reviewing the appropriate documentation. Audit findings and recommendations regarding opportunities for improvement have been set forth in Sections 4 of this report.

<input type="checkbox"/>	The last audit revealed nonconformities which have been demonstrably corrected. The corrections and corrective actions taken in this respect have been verified.				
<input checked="" type="checkbox"/>	A stage 1 audit was performed and the organization found ready for certification. Identified weaknesses, if any, have been eliminated and the respective corrective action verified.				
<input checked="" type="checkbox"/>	The current audit revealed the following nonconformities: <table border="0"> <tr> <td>Standard(s):</td> <td>No. of nonconformity</td> </tr> <tr> <td>ISO 9001</td> <td>Zero (0)</td> </tr> </table>	Standard(s):	No. of nonconformity	ISO 9001	Zero (0)
Standard(s):	No. of nonconformity				
ISO 9001	Zero (0)				
<input type="checkbox"/>	The major nonconformities (No. x) with individual standard elements require a re-audit to verify the effectiveness of the corrections and corrective actions (probable date: .ddmmyyyy)				
<input checked="" type="checkbox"/>	The organization has established and maintains an effective system to ensure compliance with its policy and objectives. The audit team confirms in line with the audit targets that the organization's management system complies with, adequately maintains and implements the requirements of the stand-				

The auditor therefore recommends:

<input type="checkbox"/>	Award of the new certificates.
<input checked="" type="checkbox"/>	Maintenance of the existing certification.
<input type="checkbox"/>	Inclusion of the changes (see Section 3) in the scope of application of existing certifications
<input type="checkbox"/>	Maintenance or issue of the certificates only after successful completion of a re-audit.

2 Scope

2.1 Description of the organization

The National Intelligence Coordinating Agency (NICA) is the primary intelligence gathering and analysis arm of the Philippine Government, in charge of carrying out overt, covert, and clandestine intelligence programs.